

# L&C

## 2023 FIA CONFERENCE

26-28 APRIL | WASHINGTON, DC

L&C provides a forum to learn and discuss the legal and regulatory issues impacting the cleared derivatives industry. L&C meets the needs of multiple levels of practitioners in the industry, from the new law firm associate to the chief compliance officer. This annual touch-point is an ideal opportunity to promote your legal, compliance and risk management products and services to attendees ranging from in-house counsel to industry regulators.



## 2023 CONFERENCE-AT-A-GLANCE



### Top 5 States

- 1 District of Columbia
- 2 New York
- 3 Illinois
- 4 Texas
- 5 Virginia

### Top 5 Cities

- 1 Washington, DC
- 2 New York
- 3 Chicago
- 4 Houston
- 5 London



**690+**

Registered Attendees



**202**

Companies Represented



**25**

Sponsors and Exhibitors



**57%**

Have Attended Multiple L&Cs



**175**

Government Regulators



**43%**

First Time Attendees

Contact Toni Vitale Chan at +1 312.636.2919 or [tvitalechan@fia.org](mailto:tvitalechan@fia.org) for more information. [FIA.org/LC](https://www.fia.org/LC)



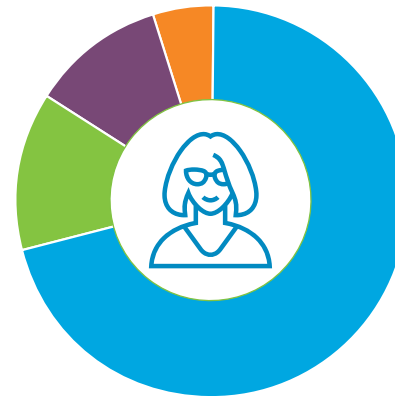
## 2023 CONFERENCE BY THE NUMBERS

### Firm Type



- 30%** Service Providers
- 21%** Intermediary/Market Maker
- 18%** Government
- 13%** Market Infrastructure
- 10%** Other
- 8%** Customer/Trader/End-User

### Job Function



- 71%** Compliance/Legal
- 13%** Regulator/Government Official
- 11%** Other
- 5%** Chief Executive/Senior Management
- 5%** (Unlabeled)





# Thank you to our Sponsors & Exhibitors

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## Exhibitors



# Participating Companies at L&C 2023

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ABN AMRO Clearing USA LLC  
ADM Investor Services, Inc.  
Advantage Futures  
AEGIS SEF  
AlixPartners  
Allen & Overy LLP  
Alvarez & Marsal LLC  
Apogee Compliance LLC  
ARB Trading Group  
Aristotle Exchange  
Autorité des Marchés Financiers

## B

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Baker Botts  
Banco Santander S.A.  
Bank of America Securities  
Barclays  
Barnes & Thornburg LLP  
BGC Partners, Inc.  
BlackRock  
Bloomberg LP  
Bloomberg News  
BMO Harris Bank NA  
BNP Paribas  
BP Corporation North America  
Bracewell LLP  
The Brattle Group  
Bressler, Amery & Ross, P.C.  
Bridgewater Associates LP  
Brown Brothers Harriman & Co.  
Bryan Cave Leighton Paisner  
Bunge

## C

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Cadwalader, Wickersham & Taft LLP  
Calpine Corporation  
Capitol Counsel  
Cargill  
Castleton Commodities  
Cboe Global Markets  
Ceres Global Ag. Corp  
Chapman & Cutler LLP  
Charles Law PLLC  
Charles Schwab Futures and Forex LLC  
Chicago Trading Company  
CHS Hedging  
CHS Inc.  
CIBC  
Citadel LLC  
Citadel Securities  
Citigroup Global Markets  
Cleary Gottlieb Steen & Hamilton LLP  
Clifford Chance LLP  
CME Group  
COFCO International Limited  
Coinbase  
Commodity Futures Trading  
Commission  
Concord Energy Holdings LLC  
Coral Reef Technologies  
Cornerstone Research  
Crabel Capital Management LLC  
Credit Agricole Securities  
Credit Suisse Securities (USA)  
Crypto.com  
Cunningham Commodities LLC

## D

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Daiwa Capital Markets America Inc.  
Davis Polk & Wardwell LLP  
Davis Wright Tremaine LLP  
DC Energy Management, L.P.  
Deloitte & Touche LLP  
Derivative Path  
Deutsche Bank Securities Inc.  
Dorman Trading LLC  
DRW Holdings  
DTCC

## E

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Engelhart CTP (US) LLC  
Eurex Clearing AG  
Eurex Frankfurt AG  
Eventus  
Eversheds Sutherland (US) LLP  
Exchange Analytics Inc.

## F

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Faegre Drinker Biddle & Reath LLP  
Federal Reserve Bank of Chicago  
Federal Reserve Bank of New York  
FIA  
FMX Futures Exchange  
Foley & Lardner LLP  
Freepoint Commodities LLC  
Fried Frank Harris Shriver  
& Jacobson LLP

## G

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Gelber Group LLC  
Geneva Trading  
Gibson, Dunn & Crutcher LLP  
Global Relay  
Goldman Sachs & Co. LLC  
Greenberg Traurig, LLP

## H

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Hartree Partners  
Headlands Technologies LLC  
Hidden Road Partners  
Holland & Knight LLP  
HSBC

## I

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ICBC Standard Securities Inc.  
IncubEx Inc.  
The Institute for Financial Markets  
Interactive Brokers Group LLC  
Intercontinental Exchange Inc.  
ISDA

## J

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J.P. Morgan  
Jenner & Block  
Jones Day  
JPMorgan Chase Bank N.A.

# Participating Companies at L&C 2023 *Continued*

## K

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K&L Gates LLP  
Kalshi  
Katten  
Kirkland & Ellis LLP  
Kobre & Kim LLP  
KOR Financial

## L

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Latham & Watkins LLP  
LCH  
Leading Authorities  
LedgerX  
Linklaters  
LSEG  
Lukka

## M

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Macquarie  
Marex  
Matrix Global Holdings LLC  
Mayer Brown LLP  
McMillan LLP  
Milbank LLP  
Minneapolis Grain Exchange, LLC  
Mizuho  
Morgan Stanley  
Morgan, Lewis & Bockius LLP  
Morrison & Foerster LLP  
MRB Consulting LLC

## N

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National Bank of Canada  
National Futures Association  
NERA Economic Consulting  
NinjaTrader  
Nodal  
Nomura International

## O

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O'Melveny & Myers LLP  
OCC  
Olam Food Ingredients  
Old Mission Capital LLC  
Optiver  
Orrick, Herrington & Sutcliffe LLP  
OTC Europe, LLP  
OTC Global Holdings

## P

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Patomak Global Partners, LLC  
Paul Hastings LLP  
Point72 L.P.  
Promontory Financial Group LLC  
Protiviti  
PwC

## R

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R.J. O'Brien & Associates  
Rabobank International  
Railbird Exchange  
RBC Capital Markets  
Reed Smith LLP  
Riley Safer Holmes & Cancila LLP  
Ronald H. Filler & Associates LLC

Roos Innovations  
RPM Financial Markets Group LLC  
Ruddy Gregory, PLLC  
RWE Supply & Trading GmbH

## S

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S&P Global Market Intelligence  
Santander US Capital Markets LLC  
Scila AB  
Scotia Capital (USA) Inc  
Securities and Exchange Commission  
Securities Industry & Financial  
Markets Association (SIFMA)  
Senate Committee on Agriculture,  
Nutrition and Forestry  
SG Americas Securities, LLC  
Shearman & Sterling LLP  
Sidley Austin  
Skadden Arps Slate Meagher & Flom  
SMBC Capital Markets, Inc.  
Societe Generale  
State of Wisconsin Investment Board  
SteelEye  
Steptoe & Johnson LLP  
StoneX  
Stradley Ronon Stevens & Young LLP  
Straits Financial LLC  
Subject Matter  
Sullivan & Cromwell LLP  
Sumitomo Mitsui Banking Corp.

## T

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T. Rowe Price Associates, Inc.  
TCW Group  
TMX | Montreal Exchange  
Tower Research Capital  
TP ICAP  
TradeStation Securities  
Tradeweb Markets  
Trading Technologies  
International Inc.  
Tradition SEF  
TrailStone  
Truist Bank  
Tudor Investment Corporation  
Twin Eagle

## U

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UBS  
United States Commodity Funds LLC  
United States Senate

## V

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Vitol  
VoxSmart

## W

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Wedbush Securities  
Wells Fargo Securities LLC  
White & Case LLP  
Willkie Farr & Gallagher LLP  
WilmerHale